

BEFORE THE NORTH CAROLINA UTILITIES COMMISSION  
 APPLICATION FOR TRANSFER OF PUBLIC UTILITY SYSTEM  
 (to owner exempt from regulation)

INSTRUCTIONS

Notes or explanations placed in the margins of the application are acceptable. If additional space is needed, supplementary sheets may be attached. If any section does not apply, write "not applicable" or cross out the section.

SELLER

1. Trade name used for utility business \_\_\_\_\_
2. Mailing address \_\_\_\_\_
3. Business telephone number \_\_\_\_\_

PURCHASER

4. Name of purchaser \_\_\_\_\_
5. Business mailing address \_\_\_\_\_  
     City and State \_\_\_\_\_ Zip Code \_\_\_\_\_
6. Business telephone number \_\_\_\_\_

UTILITY SYSTEM(S) TO BE TRANSFERRED

Fill in one column for each Subdivision or Service Area.

	(1)	(2)	(3)
7. Name of Subdivision(s) or Service Area(s)	_____	_____	_____
8. County (or Counties)	_____	_____	_____
9. Type of Service (water, sewer, etc.)	_____	_____	_____
10. Number of current customers:			
Water	_____	_____	_____
Sewer	_____	_____	_____
11. System I.D. or Permit Nos:			
a. DENR System I.D. No. (Water)	_____	_____	_____
b. NPDES or Nondischarge Permit No. (Sewer)	_____	_____	_____

PURCHASER'S PROPOSED RATES

12. Metered Residential Service:  
     Water: \_\_\_\_\_  
     Sewer: \_\_\_\_\_
13. Flat Rate Residential Service:  
     Water: \_\_\_\_\_  
     Sewer: \_\_\_\_\_
14. Nonresidential Service (explain):  
     Water: \_\_\_\_\_  
     Sewer: \_\_\_\_\_

FINANCIAL STATEMENTS

	<u>Water</u>	<u>Sewer</u>
15. Seller's Investment in Utility Property:		
16. Original cost to Seller.....	\$ _____	\$ _____
17. Less accumulated depreciation.....	\$ _____	\$ _____
18. Less accumulated tap fees and other contributions in aid of construction.....	\$ _____	\$ _____
19. Net investment in utility property.....	\$ _____	\$ _____
20. Purchase price paid by Purchaser.....	\$ _____	\$ _____
21. Customer deposits still held by Seller.....	\$ _____	\$ _____

GENERAL

- 1. Is the Purchaser willing to furnish utility service described herein? (yes or no) \_\_\_\_\_
- 2. When will the Purchaser begin furnishing said utility service? \_\_\_\_\_
- 3. Will Purchaser continue using present system, or will it be replaced within the next few years? \_\_\_\_\_
- 4. Will Purchaser require customers to pay additional assessments if system is replaced within next few years? \_\_\_\_\_

EXHIBITS

- 5. Enclose a copy of the purchase agreement reduced to writing. Any changes in the purchase agreement should be filed immediately with the Commission.

FILING INSTRUCTIONS

- 6. Six (6) copies of the application and exhibits shall be filed with the **North Carolina Utilities Commission, 4325 Mail Service Center, Raleigh, North Carolina 27699-4325**. One of these copies must have original signatures. (Applicants must make their own copies if desired.)

SIGNATURES

- 7. Application shall be signed and verified by the Applicants.

Signature \_\_\_\_\_  
Purchaser

Date \_\_\_\_\_

Signature \_\_\_\_\_  
Seller

Date \_\_\_\_\_

VERIFICATION

- 8. (Typed or Printed Name) \_\_\_\_\_ personally appearing before me and, being first duly sworn, says that the information contained in this application and in the exhibits attached hereto are true to the best of his/her knowledge and belief.

This the \_\_\_\_\_ day of \_\_\_\_\_, 20\_\_\_\_.

\_\_\_\_\_  
Notary Public

\_\_\_\_\_  
Address

My Commission Expires: \_\_\_\_\_  
Date